

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. 823.0090USQX  
Anticipated Classification of this Application  
Class: 1626 Subclass:  
Prior Application: 09/992,551 Filed 11/06/2001  
Examiner: T. Solola Art Unit: 1626

CUSTOMER NO.: 27623

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This is a request for filing a continuation application under 37 CFR 1.53(b), of pending prior application, Serial No. 09/992,551 filed on November 6, 2001 for Asymmetric Catalysis Based on Chiral Phospholanes and Hydroxyl Phospholanes and prior application Serial No. 09/377,065 filed on August 19, 1999 (now U.S. Patent No. 6,337,406 for Asymmetric Catalysis Based on Chiral Phospholanes and Hydroxyl Phospholanes).

The filing fee is calculated below:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT BELOW				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE
				\$770.00
TOTAL CLAIMS	24 - 20 =	4	x \$18.00	\$72.00
INDEPENDENT CLAIMS	3 - 3 =	0	x \$86.00	\$0
MULTIPLE DEPENDENT CLAIM FEE		x \$290.00 =		
TOTAL FILING FEE		\$842.00		
1/2 FILING FEE FOR SMALL ENTITY		\$421.00		

1. **XX** A check in the amount of \$421.00 is enclosed.
2. **XX** The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.
3.      Cancel in this application original claim(s)                                  of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
4. **XX** A copy of the executed oath or declaration from U.S. Application Serial No. 09/992,551 filed November 6, 2001 is enclosed.
5.      A new oath or declaration, new formal drawings and an affidavit or declaration verifying it as a true copy is enclosed.

6. XX Amend the specification by inserting before the first line the sentence: ---  
This is a continuation of application serial no. 09/992,551, filed November 6, 2001 and  
prior application Serial No. 09/377,065 filed on August 19, 1999 (now U.S. Patent No.  
6,337,406).---

7. XX The prior application is assigned of record to: The Penn State Research  
Foundation

8. XX The power of attorney in the prior application is to: Paul D. Greeley  
a. The power appears in the original papers in the prior application.  
b. Address all future communications to : **PAUL D. GREELEY, ESQ.**

**OHLANDT, GREELEY, RUGGIERO & PERLE, L.L.P.**  
**ONE LANDMARK SQUARE, 10<sup>th</sup> FLOOR**  
**STAMFORD, CONNECTICUT 06901-2682**  
**TELEPHONE: (203) 327-4500**  
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9. XX A preliminary amendment is enclosed. (Claims added by this amendment  
have been properly numbered consecutively beginning with the number next following  
the highest numbered original claim in the prior application.)

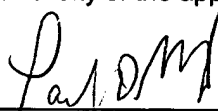
10. XX Priority of application Serial No. Serial No. 09/992,551 filed on November  
6, 2001 and prior application Serial No. 09/377,065 filed on August 19, 1999 (now U.S.  
Patent No. 6,337,406) is claimed under 35 U.S.C. §120;

11. XX I hereby verify that the attached papers are a true copy of prior application  
serial no. 09/992,551 as originally filed on November 6, 2001.

The undersigned declares further that all statements made herein of his own knowledge are true  
and that all statements made on information and belief are believed to be true; and further that these  
statements were made with the knowledge that willful false statements and the like so made are  
punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and  
that such willful false statements may jeopardize the validity of the application or any patent issuing  
thereon.

March 2, 2004

(Date)



Paul D. Greeley, Esq.

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

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**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express  
Mail Post Office to Addressee" Certificate No. **EL996768825US**, service under 37 CFR §1.10 and is  
addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450, on March 2, 2004.

Leslie Moscatello

(Typed name of person mailing paper)



(Signature of person mailing paper)